



## 1 Background

The performance mandate drawn up by the ENSI Board defines the strategic goals, performance and output objectives, products and the corresponding financial framework for a given legislative period. Strategic goals are concretised in an annual performance agreement.

## 2 Strategic goals

The strategic goals defined and pursued within the scope of higher-ranking projects for the period 2012 to 2015 are as follows:

1. The safety standards of Swiss nuclear plants are high by international comparison.
2. Issues of relevance to safety arising from the sectoral plan procedure are evaluated.
3. The information provided to the population is comprehensible, based on fact and provided in a timely fashion.
4. ENSI maintains its public profile and trust level.
5. ENSI strengthens and plays an active role in international cooperation efforts.
6. The supervisory strategy for scheduled and organised decommissioning is complete.
7. ENSI's personnel resources and know-how are safeguarded.
8. Lessons are learned from Fukushima and the resulting consequences.
9. The recommendations of the IRRS Mission 2011 of relevance to ENSI's sphere of direct influence are implemented and the follow-up mission has taken place.

The following annual goals for 2014, which are derived from the strategic goals, are divided into four categories (general goals, facility assessment objectives, operations surveillance objectives and objectives in the field of leadership).

### 2.1 General goals

#	Goal	Indicator	Date
<b>Measures due to the events at Fukushima</b>			
G1	The activities defined in the Fukushima Action Plan 2014 are implemented.	ENSI report contains the action plan and the status of implementation.	31.12.2014
<b>Technical Forum on Nuclear Power Plants</b>			
G2	Competent supervision of the Technical Forum on Nuclear Power Plants.	4 meetings have taken place. The website is up to date.	31.12.2014
<b>Sectoral planning procedure</b>			
G3	Initial review of the documents submitted by Nagra for Stage 2 is complete.	Report on the initial review submitted to the SFOE.	31.12.2014



G4	Active and competent supervision of sectoral planning committees with regard to technical safety aspects on behalf of the SFOE. ENSI also supports SFOE's public relations work with regard to regional safety.	ENSI participates in all significant events and documents its participation in quarterly reports submitted to the SFOE.	31.12.2014
G5	Competent supervision of the Technical Forum on Nuclear Power Plants.	4 meetings have taken place. The website is up to date.	31.12.2014
G6	ENSI's 41 requirements with regard to geographical knowledge as documented in ENSI 33/115 are processed within the scope of intermediate technical meetings.	ENSI's report on the status of geographical knowledge submitted to the SFOE.	30.06.2014

## 2.2 Facility assessment goals

#	Goal	Indicator	Date
<b>Periodic Safety Reviews</b>			
A1	The initial review of the PSR KKB sections submitted at the end of 2013 is complete.	Memo documenting the result of the initial review of the PSR KKB sections submitted at the end of 2013.	31.12.2014
<b>PRP report review</b>			
A2	Review the PRP report and define new seismic hazard assumptions.	Memo documenting the result of the review. Seismic hazard assumptions defined.	31.12.2014
<b>Planned changes in nuclear facilities</b>			
A3	Applications for changes to nuclear facilities and approval procedures for new TL containers are assessed on schedule.	Date compliance: 6 months after the submission of assessment documentation.	Dates as per ENSI's detailed schedule
<b>Preparation of decommissioning activities</b>			
A4	AUKOS supervision concept in force; implementation of measures.	AUKOS is documented and measures implemented in accordance with the schedule.	31.12.2014
<b>Remaining period of operation for KKM</b>			
A5	The deviation requests submitted by BKW in view of the reduced remaining period of operation are reviewed.	ENSI statement and catalogue of measures are prepared.	31.12.2014



## 2.3 Operations surveillance goals

The "operations surveillance" product comprises the assessment of facility operations with regard to safety and includes the approval of personnel, the analysis of events and the deployment of the ENSI emergency response organisation. It consists of eight processes:

- Monitoring, inspection and approval
- Enforcement
- Planned maintenance outages
- Radiation monitoring
- Processing events
- Remote monitoring and forecasting
- Emergency preparedness
- Safety assessment

The operations surveillance product reflects ENSI's day-to-day business operations. All activities support the achievement of the strategic goals. Contributions towards goal achievement are measured on the basis of the performance and output indicators defined in the strategic goals. For this reason, no specific annual goals have been defined.



## 2.4 Goals in the field of management

#	Goal	Indicator	Date
<b>Preparation for the IRRS Follow-up Mission 2015</b>			
F1	Preparation for the IRRS Follow-up Mission 2015 is complete.	Adherence to the processing schedule for the IRRS action plan.	31.12.2014
<b>Sixth Review Meeting on the Convention on Nuclear Safety (CNS)</b>			
F2	ENSI participates in the 6th CNS Review Meeting.	Active participation in the Review Meeting. Measures from the 6th CNS Review Meeting are defined.	01.09.2014
<b>National Report for the Joint Convention Review Meeting</b>			
F3	The National Report for the 5th Joint Convention Review Meeting is complete.	National Report submitted on schedule.	10.10.2014
<b>Human Capital Management</b>			
F4	2nd package of HCM measures implemented.	Implementation on schedule	31.12.2014
<b>Culture of supervision and ENSI mission statement</b>			
F5	Insights gained from the supervision culture and mission statement project are documented in a catalogue of measures.	Catalogue of measures developed and initial measures introduced or implemented.	31.12.2014
<b>Implementation of the communications strategy</b>			
F6	Controlling for the communications strategy is defined.	Data for controlling purposes made available to the ENSI Board.	31.12.2014